	Application No.	Applicant(s)
Notice of Allowability	09/917,789	LYNCH ET AL.
	Examin r	Art Unit
	Christopher Nichols, Ph.D.	1647
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT IS of the Office or upon petition by the applicant. See 37 CFR 1.31  1. This communication is responsive to 27 August 2003. 2. The allowed claim(s) is/are 95-101,103-114,116-127,129 245,247-258,260-271,273-284,286-297,299-310,312-323 and 3 3. The drawings filed on 27 August 2003 are accepted by the 4. Acknowledgment is made of a claim for foreign priority un a) All b) Some* c) None of the:  1. Certified copies of the priority documents have	S (OR REMAINS) CLOSED in this of or other appropriate communical RIGHTS. This application is subjected and MPEP 1308.  13 and MPEP 1308.  13 and MPEP 1308.  140,142-153,155-166,168-179,1825-330.  15 examiner.  16 and a subjected and the subjected	application. If not included tion will be mailed in due course. <b>THIS</b> at to withdrawal from issue at the initiative to withdrawal from issue at the initiative the initiat
<ul> <li>2.  Certified copies of the priority documents have been received in Application No</li> <li>3.  Copies of the certified copies of the priority documents have been received in this national stage application from the</li> </ul>		
International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:  5. Acknowledgment is made of a claim for domestic priority  (a) The translation of the foreign language provisional  6. Acknowledgment is made of a claim for domestic priority	under 35 U.S.C. § 119(e) (to a progaplication has been received.	visional application).
Applicant has THREE MONTHS FROM THE "MAILING DATE" of below. Failure to timely comply will result in ABANDONMENT of the substitute of the property of the property of the substitute of the property of the pr	f this application. THIS THREE-N mitted. Note the attached EXAMIN	ER'S AMENDMENT OF NOTICE OF
8. CORRECTED DRAWINGS must be submitted.  (a) including changes required by the Notice of Draftsper 1) hereto or 2) to Paper No.  (b) including changes required by the proposed drawing (c) including changes required by the attached Examine ldentifying indicla such as the application number (see 37 CFR each sheet.	erson's Patent Drawing Review(P correction filed, which has er's Amendment / Comment or in th	TO-948) attached s been approved by the Examiner. ne Office action of Paper No
9. DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT FOR	OSIT OF BIOLOGICAL MATERIA THE DEPOSIT OF BIOLOGICAL N	L must be submitted. Note the MATERIAL.
Attachment(s)		
<ul> <li>1⊠ Notice of References Cited (PTO-892)</li> <li>3□ Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>5⊠ Information Disclosure Statements (PTO-1449), Paper No.</li> <li>7□ Examiner's Comment Regarding Requirement for Deposit of Biological Material</li> </ul>	4⊠ Interview Sum 6⊠ Examiner's Ar	rmal Patent Application (PTO-152) nmary (PTO-413), Pap r No mendment/Comment atement of Reasons for Allowance

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### **DETAILED ACTION**

## Status of Application, Amendments, and/or Claims

1. The Amendment filed 27 August 2003 has been received and entered in full. Claims 1-94 have been cancelled and claims 95-330 have been added.

# Withdrawn Objections And/Or Rejections

- 2. The Objection to the Specification as set forth at pp. 2-3 ¶4-7 in the previous Office Action (27 February 2003) is withdrawn in view of Applicant's amendments (Amendment and Reply, 27 August 2003).
- 3. The rejection of claims 1-12 under 35 U.S.C. §112 ¶1 as set forth at pp. 3-7 ¶8-16 in the previous Office Action (27 February 2003) is *withdrawn* in view of Applicant's amendments (Amendment and Reply, 27 August 2003).
- 4. The rejection of claims 1-12 under 35 U.S.C. §112 ¶2 as set forth at pp. 7 ¶17 in the previous Office Action (27 February 2003) is *withdrawn* in view of Applicant's amendments (Amendment and Reply, 27 August 2003).
- 5. The Declaration of Xiaoning Bi and Gary Lynch filed on 27 August 2003 under 37 CFR 1.131 is sufficient to overcome the Bi *et al.* (21 March 2000) "Novel Cathepsin D Inhibitors Block the Formation of Hyperphosphorylated Tau Fragments in Hippocampus." Journal of Neurochemistry 74(4): 1469-1477 reference. Thus the rejection of claims 1-9 under 35 U.S.C. \$102(a) as set forth at pp. 8 ¶18-20 in the previous Office Action (27 February 2003) is *moot*.

#### **EXAMINER'S AMENDMENT**

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6. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Claim (Currently Amended) An in vitro method of determining the effect of a substance on characteristics that are indicative of Alzheimer's Disease or related neurodegenerative disorders in rodent brain cells, said method comprising:

- (A) exposing said brain cells to a cathepsin D-increasing agent or compound under conditions that increase the concentration or amount of cathepsin D in said cells to an effective concentration,
- (B) maintaining said cells for a time that is sufficient to induce, relative to the levels present in the absence of said substance, one or more characteristics indicative of said Alzheimer's Disease or said related neurodegenerative disorders in said cells as a result of said increase in said cathepsin D,
- (C) adding said substance before, during and/or after said exposing or said maintaining; and
- (D) determining whether the presence of said substance has an effect on the induction of said one or more characteristics, wherein said characteristics are selected from the group consisting of:
- (1) the formation of neurofibrillary tangles,
- (2) the hyperphosphorylation of tau,
- (3) the fragmentation of tau,
- (4) the production and/or release of brain-produced cytokines TGF-beta, IL-lb, or TNF, or LPS,

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(5) a microglia reaction or microglial activation,

(6) indications of brain inflammatory reactions,

(7) conversion of p35 to p25,

(8) changes in the level and/or activity of cyclin dependent protein kinase 5 (cdk5), and

(9) changes in the level and/or activity of mitogen activated protein kinases (MAPK), wherein

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said effect on said induction of any or all of said characteristics in D(1)- D(9) is indicative of the

appearance or disappearance, respectively, of said characteristics of said Alzheimer's Disease or

said related neurodegenerative disorders, wherein said related neurodegenerative disorder is one

in which exposing said rodent brain cells to a cathepsin D-increasing agent or compound under

conditions that increase the concentration or amount of cathepsin D in said cells to an effective

concentration induces one or more of said characteristics of D(1)-D(9).

Claim 96 (Previously Added): The method of claim 98, wherein said characteristic is said formation of neurofibrillary tangles.

Claim 97 (Currently Amended) The method of claim 95, wherein said compound is selected from the group consisting of a compound which is selected from the group consisting of chloroquine, N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone, N-CBZ-L-phenylalanyl-L-phenylalanyl-L-phenylalanine-diazomethylketone, and beta-amyloid.

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Claim 98 (Currently Amended) The method of claim 97, wherein said compound is N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone ZPAD.

Claim 99 (Previously Added): The method of claim 96, wherein said brain cells are in the form of dissociated cells.

Claim 100 (Previously Added): The method of claim 96, wherein said brain cells are in the form of a brain slice.

Claim 101 (Currently Amended) The method of claim 100, wherein said brain slice is selected from the group consisting of a hippocampal slice, an entorhinal cortex slice, an entorhinohippocampal slice, a neocortex slice, a hypothalamic slice, or and a cortex slice.

Claim 102 (Cancelled)

Claim 103 (Currently Amended) The method of any one of claims 96-102 96-101, wherein said rodent brain cells are apolipoprotein E-deficient rodent brain cells.

Claim 104 (Previously Added) The method of claim 103, wherein said rodent is a mouse.

Claim 1935 (Previously Added) The method of claim 1935, wherein said rodent is a rat.

Claim 106 (Currently Amended) The method of any one of claims 96-102 96-101, wherein said rodent brain cells are apolipoprotein E-containing rodent brain cells.

Claim 197 (Previously Added) The method of claim 196, wherein said rodent is a mouse.

Claim 198 (Previously Added) The method of claim 196, wherein said rodent is a rat.

Claim 109 (Previously Added) The method of claim 95, wherein said characteristic is said hyperphosphorylation of tau.

Claim 110 (Currently Amended) The method of claim 109, wherein said compound is selected from the group consisting of a compound which is selected from the group consisting of chloroquine, N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone, N-CBZ-L-phenylalanyl-L-phenylalanine-diazomethylketone, and beta-amyloid.

Claim 111 (Currently Amended) The method of claim 110, wherein said compound is N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone ZPAD.

Claim 112 (Previously Added) The method of claim 109, where said brain cells are in the form of dissociated cells.

Claim 1/3 (Previously Added): The method of claim 109, wherein said brain cells are in the form of a brain slice.

Claim 114 (Currently Amended) The method of claim 113, wherein said brain slice is selected from the group consisting of a hippocampal slice, an entorhinal cortex slice, an entorhinohippocampal slice, a neocortex slice, a hypothalamic slice, or and a cortex slice.

Claim 115 (Cancelled)

Claim 116 (Currently Amended) The method of any one of claims 109-115 109-114, wherein said rodent brain cells are apolipoprotein E-deficient rodent brain cells.

Claim 117 (Previously Added) The method of claim 116, wherein said rodent is a mouse.

Claim 118 (Previously Added) The method of claim 116, wherein said rodent is a rat.

Claim 116 (Currently Amended) The method of any one of claims 109-115 109-114, wherein said rodent brain cells are apolipoprotein E-containing rodent brain cells.

Claim 120 (Previously Added) The method of claim 149, wherein said rodent is a mouse.

Claim 121 (Previously Added) The method of claim 118, wherein said rodent is a rat.

fragmentation of tau.

Claim 122 (Previously Added) The method of claim 95, wherein said characteristic is said

Claim 122 (Currently Amended) The method of claim 122, wherein said compound is selected from the group consisting of a compound which is selected from the group consisting of chloroquine, N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone, N-CBZ-L-phenylalanyl-L-phenylalanine-diazomethylketone, and beta-amyloid.

Claim 124 (Currently Amended) The method of claim 123, wherein said compound is N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone ZPAD.

Claim 125 (Previously Added) The method of claim 122, where said brain cells are in the form of dissociated cells.

Claim 126 (Previously Added): The method of claim 122, wherein said brain cells are in the form of a brain slice.

Claim 127 (Currently Amended) The method of claim 126, wherein said brain slice is selected from the group consisting of a hippocampal slice, an entorhinal cortex slice, an entorhinohippocampal slice, a neocortex slice, a hypothalamic slice, or and a cortex slice.

Claim 128 (Cancelled)

Claim 129 (Currently Amended) The method of any one of claims 122-128 122-127, wherein said rodent brain cells are apolipoprotein E-deficient rodent brain cells.

Claim 130 (Previously Added) The method of claim 129, wherein said rodent is a mouse.

24 Claim 121 (Previously Added) The method of claim 129, wherein said rodent is a rat.

Claim 132 (Currently Amended) The method of any one of claims 122-128 122-127, wherein said rodent brain cells are apolipoprotein E-containing rodent brain cells.

Claim 123 (Previously Added) The method of claim 122, wherein said rodent is a mouse.

Claim 134 (Previously Added) The method of claim 132, wherein said rodent is a rat.

Claim 138 (Currently Amended) The method of claim 98, wherein said characteristic is said production and/or release of brain-produced cytokines TGF-beta, IL-1b, or TNF or LPS.

Claim 136 (Currently Amended) The method of claim 135, wherein said compound is selected from the group consisting of a compound which is selected from the group consisting of

chloroquine, N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone, N-CBZ-L-phenylalanyl-L-phenylalanine-diazomethylketone, and beta-amyloid.

Claim 137 (Currently Amended) The method of claim 136, wherein said compound is N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone ZPAD.

Claim 138 (Previously Added) The method of claim 135, where said brain cells are in the form of dissociated cells.

Claim 139 (Previously Added): The method of claim 155, wherein said brain cells are in the form of a brain slice.

Claim 140 (Currently Amended) The method of claim 129, wherein said brain slice is selected from the group consisting of a hippocampal slice, an entorhinal cortex slice, an entorhinohippocampal slice, a neocortex slice, a hypothalamic slice, or and a cortex slice.

Claim 141 (Cancelled)

Claim 142 (Currently Amended) The method of any one of claims 135-141 135-140, wherein said rodent brain cells are apolipoprotein E-deficient rodent brain cells.

Claim 143 (Previously Added) The method of claim 142, wherein said rodent is a mouse.

Claim 144 (Previously Added) The method of claim 143, wherein said rodent is a rat.

Claim 145 (Currently Amended) The method of any one of claims 135-141 135-140, wherein said rodent brain cells are apolipoprotein E-containing rodent brain cells.

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Claim 146 (Previously Added) The method of claim 145, wherein said rodent is a mouse.

Claim 147 (Previously Added) The method of claim 145, wherein said rodent is a rat.

Claim 148 (Previously Added) The method of claim 98, wherein said characteristic is said

microglia reaction or microglial activation.

Claim 148 (Currently Amended) The method of claim 148, wherein said compound is selected

from the group consisting of a compound which is selected from the group consisting of

chloroquine, N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone, N-CBZ-L-phenylalanyl-L-

phenylalanine-diazomethylketone, and beta-amyloid.

Claim 156 (Currently Amended) The method of claim 149, wherein said compound is N-CBZ-L-

phenylalanyl-L-alanine-diazomethylketone **ZPAD**.

Claim 151 (Previously Added) The method of claim 148, where said brain cells are in the form of dissociated cells.

Claim 152 (Previously Added): The method of claim 148, wherein said brain cells are in the form of a brain slice.

Claim 153 (Currently Amended) The method of claim 152, wherein said brain slice is selected from the group consisting of a hippocampal slice, an entorhinal cortex slice, an entorhinohippocampal slice, a neocortex slice, a hypothalamic slice, or and a cortex slice.

Claim 154 (Cancelled)

Claim 155 (Currently Amended) The method of any one of claims 148-154 148-155, wherein said rodent brain cells are apolipoprotein E-deficient rodent brain cells.

Claim 186 (Previously Added) The method of claim 188, wherein said rodent is a mouse.

Claim 157 (Previously Added) The method of claim 185, wherein said rodent is a rat.

Claim 188 (Currently Amended) The method of any one of claims 148-154 148-153, wherein said rodent brain cells are apolipoprotein E-containing rodent brain cells.

Claim 159 (Previously Added) The method of claim 158, wherein said rodent is a mouse.

Claim 160 (Previously Added) The method of claim 158, wherein said rodent is a rat.

Claim 161 (Previously Added) The method of claim 98, wherein said characteristic is said indications of brain inflammatory reactions.

Claim 162 (Currently Amended) The method of claim 161, wherein said compound is selected from the group consisting of a compound which is selected from the group consisting of chloroquine, N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone, N-CBZ-L-phenylalanyl-L-phenylalanine-diazomethylketone, and beta-amyloid.

Claim 163 (Currently Amended) The method of claim 162, wherein said compound is N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone ZPAD.

Claim 164 (Previously Added) The method of claim 165, where said brain cells are in the form of dissociated cells.

Claim 165 (Previously Added): The method of claim 161, wherein said brain cells are in the form of a brain slice.

Claim 166 (Currently Amended) The method of claim 165, wherein said brain slice is selected from the group consisting of a hippocampal slice, an entorhinal cortex slice, an entorhinohippocampal slice, a neocortex slice, a hypothalamic slice, or and a cortex slice.

Claim 167 (Cancelled)

Claim 166 (Currently Amended) The method of any one of claims 161-167 161-166, wherein said rodent brain cells are apolipoprotein E-deficient rodent brain cells.

Claim 169 (Previously Added) The method of claim 168, wherein said rodent is a mouse.

Claim 170 (Previously Added) The method of claim 168, wherein said rodent is a rat.

Claim 171 (Currently Amended) The method of any one of claims 161-167 161-166, wherein said rodent brain cells are apolipoprotein E-containing rodent brain cells.

Claim 172 (Previously Added) The method of claim 177, wherein said rodent is a mouse.

Claim 177 (Previously Added) The method of claim 177, wherein said rodent is a rat.

Claim 174 (Previously Added) The method of claim 95, wherein said characteristic is said conversion of p35 to p25.

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Claim 175 (Currently Amended) The method of claim 174, wherein said compound is selected from the group consisting of a compound which is selected from the group consisting of chloroquine, N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone, N-CBZ-L-phenylalanyl-L-phenylalanyl-L-phenylalanine-diazomethylketone, and beta-amyloid.

Claim 176 (Currently Amended) The method of claim 174 178, wherein said compound is N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone ZPAD.

Claim 177 (Previously Added) The method of claim 174, where said brain cells are in the form of dissociated cells.

Claim 178 (Previously Added): The method of claim 174, wherein said brain cells are in the form of a brain slice.

Claim 179 (Currently Amended) The method of claim 178, wherein said brain slice is selected from the group consisting of a hippocampal slice, an entorhinal cortex slice, an entorhinohippocampal slice, a neocortex slice, a hypothalamic slice, or and a cortex slice.

Claim 180 (Cancelled)

Claim 181 (Currently Amended) The method of any one of claims 174-180 174-179, wherein said rodent brain cells are apolipoprotein E-deficient rodent brain cells.

Claim 182 (Previously Added) The method of claim 181, wherein said rodent is a mouse.

Claim 183 (Previously Added) The method of claim 181, wherein said rodent is a rat.

m 184 (Currently Amended) The method of any one of claims 174-180 174-179, wherein said rodent brain cells are apolipoprotein E-containing rodent brain cells.

Claim 185 (Previously Added) The method of claim 184, wherein said rodent is a mouse.

Claim 186 (Previously Added) The method of claim 185, wherein said rodent is a rat.

Claim 197 (Previously Added) The method of claim 95, wherein said characteristic is said changes in the level and/or activity of cyclin dependent protein kinase 5 (cdk5).

Claim 188 (Currently Amended) The method of claim 187, wherein said compound is selected from the group consisting of a compound which is selected from the group consisting of chloroquine, N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone, N-CBZ-L-phenylalanyl-Lphenylalanine-diazomethylketone, and beta-amyloid.

Claim 189 (Currently Amended) The method of claim 188, wherein said compound is N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone ZPAD.

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Claim 196 (Previously Added) The method of claim 187, where said brain cells are in the form of dissociated cells.

Claim 191 (Previously Added): The method of claim 187, wherein said brain cells are in the form of a brain slice.

Claim 192 (Currently Amended) The method of claim 191, wherein said brain slice is selected from the group consisting of a hippocampal slice, an entorhinal cortex slice, an entorhinohippocampal slice, a neocortex slice, a hypothalamic slice, or and a cortex slice.

Claim 193 (Cancelled)

Claim 194 (Currently Amended) The method of any one of claims 187-193 187-192, wherein said rodent brain cells are apolipoprotein E-deficient rodent brain cells.

Claim 198 (Previously Added) The method of claim 194, wherein said rodent is a mouse.

Claim 196 (Previously Added) The method of claim 194, wherein said rodent is a rat.

Claim 197 (Currently Amended) The method of any one of claims 187-193 187-192, whereir said rodent brain cells are apolipoprotein E-containing rodent brain cells.

Claim 198 (Previously Added) The method of claim 197, wherein said rodent is a mouse.

Claim 199 (Previously Added) The method of claim 197, wherein said rodent is a rat.

Claim 200 (Previously Added) The method of claim 25, wherein said characteristic is said changes in the level and/or activity of mitogen activated protein kinases.

Claim 201 (Currently Amended) The method of claim 200, wherein said compound is selected from the group consisting of a compound which is selected from the group consisting of chloroquine, N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone, N-CBZ-L-phenylalanyl-L-phenylalanyl-L-phenylalanine-diazomethylketone, and beta-amyloid.

Claim 202 (Currently Amended) The method of claim 201, wherein said compound is N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone ZPAD.

Claim 200 (Previously Added) The method of claim 200, where said brain cells are in the form of dissociated cells.

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Claim 204 (Previously Added): The method of claim 200, wherein said brain cells are in the form of a brain slice.

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Claim 205 (Currently Amended) The method of claim 204, wherein said brain slice is selected from the group consisting of a hippocampal slice, an entorhinal cortex slice, an entorhinohippocampal slice, a neocortex slice, a hypothalamic slice, or and a cortex slice.

Claim 206 (Cancelled)

Claim 207 (Currently Amended) The method of any one of claims 200-206 200-205, wherein said rodent brain cells are apolipoprotein E-deficient rodent brain cells.

Claim 208 (Previously Added) The method of claim 207, wherein said rodent is a mouse.

Claim 209 (Previously Added) The method of claim 207, wherein said rodent is a rat.

Claim 210 (Currently Amended) The method of any one of claims 200-206 200-205, wherein said rodent brain cells are apolipoprotein E-containing rodent brain cells.

Claim 217 (Previously Added) The method of claim 210, wherein said rodent is a mouse.

Claim 212 (Previously Added) The method of claim 210, wherein said rodent is a rat.

Claim 213 (Currently Amended) An in vitro method of determining the effect of a substance on characteristics that are indicative of Alzheimer's Disease or related neurodegenerative disorders in rodent brain cells, said method comprising:

- (A) exposing said brain cells to a condition that disrupts lysosomal activity in said cells, wherein said condition comprises contacting said cells with a compound that disrupts lysosomal activity,
- (B) maintaining said cells for a time that is sufficient to induce, relative to the levels present in the absence of said substance, one or more characteristics indicative of said Alzheimer's Disease or said neurodegenerative disorders in said cells as a result of said disruption of said lysosomal activity,
- (C) adding said substance before, during and/or after said exposing or said maintaining; and
- (D) determining whether the presence of said substance has an effect on the induction of said one or more characteristics, wherein said characteristics are selected from the group consisting of:
- (1) the formation of neurofibrillary tangles,
- (2) the hyperphosphorylation of tau,
- (3) the fragmentation of tau,
- (4) the production and/or release of brain-produced cytokines TGF-beta, IL-lb, or TNF, or LPS,
- (5) a microglia reaction or microglial activation,
- (6) indications of brain inflammatory reactions, conversion of p35 to p25,
- (8) changes in the level and/or activity of cyclin dependent protein kinase 5 (cdk5), and
- (9) changes in the level and/or activity of mitogen activated protein kinases (MAPK), wherein said effect on said induction of any or all of said characteristics in D(1)- D(9) is indicative of the

appearance or disappearance, respectively, of said characteristics of said Alzheimer's Disease or said related neurodegenerative disorders, wherein said related neurodegenerative disorder is one in which exposing rodent brain cells to a condition that disrupts lysosomal activity in said cells, induces one or more of said characteristics of D(1)-D(9).

Claim 214 (Previously Added) The method of claim 213, wherein said characteristic is said formation of neurofibrillary tangles.

Claim 215 (Currently Amended) The method of claim 214, wherein said compound is selected from the group consisting of a compound which is selected from the group consisting of chloroquine, N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone, N-CBZ-L-phenylalanyl-L-phenylalanine-diazomethylketone, and beta-amyloid.

Claim 216 (Currently Amended) The method of claim 215, wherein said compound is N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone ZPAD.

Claim 217 (Previously Added) The method of claim 214, where said brain cells are in the form of dissociated cells.

Claim 218 (Previously Added): The method of claim 214, wherein said brain cells are in the form of a brain slice.

Claim 218, wherein said brain slice is selected from the group consisting of a hippocampal slice, an entorhinal cortex slice, an entorhinohippocampal slice, a neocortex slice, a hypothalamic slice, or and a cortex slice.

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Claim 220 (Cancelled)

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111-116 117

Claim 221 (Currently Amended) The method of any one of claims 214-220 214-219, wherein said rodent brain cells are apolipoprotein E-deficient rodent brain cells.

1180 Claim 227 (Previously Added) The method of claim 221, wherein said rodent is a mouse.

Claim 222 (Previously Added) The method of claim 222, wherein said rodent is a rat.

111-116 Claim 224 (Currently Amended) The method of any one of claims 214-220 214-219, wherein said <u>rodent</u> brain cells are apolipoprotein E-containing <u>rodent</u> brain cells.

Claim 225 (Previously Added) The method of claim 224, wherein said rodent is a mouse.

Claim 226 (Previously Added) The method of claim 224, wherein said rodent is a rat.

"0 Claim 227 (Previously Added) The method of claim 215, wherein said characteristic is hyperphosphorylation of tau.

Claim 229 (Currently Amended) The method of claim 228, wherein said compound is N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone ZPAD.

Claim 230 (Previously Added) The method of claim 227, where said brain cells are in the form of dissociated cells.

Claim 221 (Previously Added): The method of claim 227, wherein said brain cells are in the form of a brain slice.

Claim 232 (Currently Amended) The method of claim 221, wherein said brain slice is selected from the group consisting of a hippocampal slice, an entorhinal cortex slice, an entorhinohippocampal slice, a neocortex slice, a hypothalamic slice, or and a cortex slice.

Claim 233 (Cancelled)

123-128 Claim 254 (Currently Amended) The method of any one of claims 227-233 227-232, wherein said rodent brain cells are apolipoprotein E-deficient rodent brain cells.

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Claim 235 (Previously Added) The method of claim 234, wherein said rodent is a mouse.

Claim 236 (Previously Added) The method of claim 234, wherein said rodent is a rat.

Claim 237 (Currently Amended) The method of any one of claims 227-233 227-232, wherein said rodent brain cells are apolipoprotein E-containing rodent brain cells.

Claim 228 (Previously Added) The method of claim 237, wherein said rodent is a mouse.

Claim 239 (Previously Added) The method of claim 237, wherein said rodent is a rat.

Claim 240 (Previously Added) The method of claim 212, wherein said characteristic is said fragmentation of tau.

Claim 241 (Currently Amended) The method of claim 240, wherein said compound is selected from the group consisting of a compound which is selected from the group consisting of chloroquine, N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone, N-CBZ-L-phenylalanyl-Lphenylalanine-diazomethylketone, and beta-amyloid.

Claim 242 (Currently Amended) The method of claim 241, wherein said compound is N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone ZPAD.

Claim 243 (Previously Added) The method of claim 240, where said brain cells are in the form of dissociated cells.

Claim 244 (Previously Added): The method of claim 240, wherein said brain cells are in the form of a brain slice.

Claim 245 (Currently Amended) The method of claim 244, wherein said brain slice is selected from the group consisting of a hippocampal slice, an entorhinal cortex slice, an entorhinohippocampal slice, a neocortex slice, a hypothalamic slice, or and a cortex slice.

Claim 246 (Cancelled)

Claim 247 (Currently Amended) The method of any one of claims 240-246 240-245, wherein said rodent brain cells are apolipoprotein E-deficient rodent brain cells.

Claim 248 (Previously Added) The method of claim 247, wherein said rodent is a mouse.

Claim 249 (Previously Added) The method of claim 247, wherein said rodent is a rat.

Claim 250 (Currently Amended) The method of any one of claims 240-246 249-245, whereir said rodent brain cells are apolipoprotein E-containing rodent brain cells.

Claim 251 (Previously Added) The method of claim 250, wherein said rodent is a mouse.

Claim 252 (Previously Added) The method of claim 250, wherein said rodent is a rat.

Claim 258 (Currently Amended) The method of claim 213, wherein said characteristic is said production and/or release of brain-produced cytokines TGF-beta, IL-1b, or TNF or LPS.

Claim 254 (Currently Amended) The method of claim 240, wherein said compound is selected from the group consisting of a compound which is selected from the group consisting of chloroquine, N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone, N-CBZ-L-phenylalanyl-L-phenylalanine-diazomethylketone, and beta-amyloid.

Claim 255 (Currently Amended) The method of claim 254, wherein said compound is N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone ZPAD.

Claim 256 (Previously Added) The method of claim 255, where said brain cells are in the form of dissociated cells.

142 Claim 251 (Previously Added): The method of claim 255, wherein said brain cells are in the form of a brain slice.

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Claim 258 (Currently Amended) The method of claim 281, wherein said brain slice is selected from the group consisting of a hippocampal slice, an entorhinal cortex slice, an entorhinohippocampal slice, a neocortex slice, a hypothalamic slice, or and a cortex slice.

Claim 259 (Cancelled)

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Claim 260 (Currently Amended) The method of any one of claims 253-259 253-258, wherein said <u>rodent</u> brain cells are apolipoprotein E-deficient rodent brain cells.

Claim 261 (Previously Added) The method of claim 266, wherein said rodent is a mouse.

Claim 262 (Previously Added) The method of claim 260, wherein said rodent is a rat.

Claim 268 (Currently Amended) The method of any one of claims 253-259 253-258, wherein said <u>rodent</u> brain cells are apolipoprotein E-containing rodent brain cells.

Claim 264 (Previously Added) The method of claim 263, wherein said rodent is a mouse.

Claim 265 (Previously Added) The method of claim 265, wherein said rodent is a rat.

Claim 266 (Previously Added) The method of claim 213, wherein said characteristic is said microglia reaction or microglial activation.

Claim 267 (Currently Amended) The method of claim 266, wherein said compound is selected from the group consisting of a compound which is selected from the group consisting of chloroquine, N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone, N-CBZ-L-phenylalanyl-L-phenylalanine-diazomethylketone, and beta-amyloid.

Claim 268 (Currently Amended) The method of claim 267, wherein said compound is N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone ZPAD.

Claim 266 (Previously Added): The method of claim 266, wherein said brain cells are in the form of dissociated cells.

Claim 270 (Previously Added): The method of claim 266, wherein said brain cells are in the form of a brain slice.

Claim 27 (Currently Amended) The method of claim 270, wherein said brain slice is selected from the group consisting of a hippocampal slice, an entorhinal cortex slice, an entorhinohippocampal slice, a neocortex slice, a hypothalamic slice, or and a cortex slice.

Claim 272 (Cancelled)

Claim 273 (Currently Amended) The method of any one of claims 266-272 266-271, wherein said rodent brain cells are apolipoprotein E-deficient rodent brain cells.

Claim 274 (Previously Added) The method of claim 273, wherein said rodent is a mouse.

Claim 275 (Previously Added) The method of claim 273, wherein said rodent is a rat.

Claim 276 (Currently Amended) The method of any one of claims 266-272 266-271, wherein said rodent brain cells are apolipoprotein E-containing rodent brain cells.

Claim 277 (Previously Added) The method of claim 276, wherein said rodent is a mouse.

Claim 278 (Previously Added) The method of claim 276, wherein said rodent is a rat.

Claim 279 (Previously Added) The method of claim 215, wherein said characteristic is said indications of brain inflammatory reactions.

Claim 200 (Currently Amended) The method of claim 279, wherein said compound is selected from the group consisting of a compound which is selected from the group consisting of

chloroquine, N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone, N-CBZ-L-phenylalanyl-L-phenylalanine-diazomethylketone, and beta-amyloid.

Claim 284 (Currently Amended) The method of claim 286, wherein said compound is N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone ZPAD.

Claim 282 (Previously Added) The method of claim 279, where said brain cells are in the form of dissociated cells.

Claim 283 (Previously Added): The method of claim 279, wherein said brain cells are in the form of a brain slice.

Claim 284 (Currently Amended) The method of claim 283, wherein said brain slice is selected from the group consisting of a hippocampal slice, an entorhinal cortex slice, an entorhinohippocampal slice, a neocortex slice, a hypothalamic slice, or and a cortex slice.

Claim 285 (Cancelled)

Claim 286 (Currently Amended) The method of any one of claims 279 285 279 284, wherein said rodent brain cells are apolipoprotein E-deficient rodent brain cells.

Claim 287 (Previously Added) The method of claim 286, wherein said rodent is a mouse.

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Claim 288 (Previously Added) The method of claim 286, wherein said rodent is a rat.

Claim 289 (Currently Amended) The method of any one of claims 279-285 279-284, wherein said <u>rodent</u> brain cells are apolipoprotein E-containing <u>rodent</u> brain cells.

Claim 296 (Previously Added) The method of claim 289, wherein said rodent is a mouse.

Claim 291 (Previously Added) The method of claim 289, wherein said rodent is a rat.

Claim 202 (Previously Added) The method of claim 213, wherein said characteristic is said conversion of p35 to p25.

Claim 293 (Currently Amended) The method of claim 292, wherein said compound is selected from the group consisting of a compound which is selected from the group consisting of chloroquine, N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone, N-CBZ-L-phenylalanyl-L-phenylalanine-diazomethylketone, and beta-amyloid.

Claim 294 (Currently Amended) The method of claim 293, wherein said compound is N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone ZPAD.

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Claim 295 (Previously Added) The method of claim 292, where said brain cells are in the form of dissociated cells.

Claim 296 (Previously Added): The method of claim 294, wherein said brain cells are in the form of a brain slice.

Claim 297 (Currently Amended) The method of claim 296, wherein said brain slice is selected from the group consisting of a hippocampal slice, an entorhinal cortex slice, an entorhinohippocampal slice, a neocortex slice, a hypothalamic slice, or and a cortex slice.

Claim 298 (Cancelled)

Claim 299 (Currently Amended) The method of any one of claims 292-298 292-297, wherein said <u>rodent</u> brain cells are apolipoprotein E-deficient <u>rodent</u> brain cells.

Claim 300 (Previously Added) The method of claim 209, wherein said rodent is a mouse.

Claim 301 (Previously Added) The method of claim 209, wherein said rodent is a rat.

Claim 302 (Currently Amended) The method of any one of claims 292-298 292-297, wherein said rodent brain cells are apolipoprotein E-containing rodent brain cells.

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Claim 303 (Previously Added) The method of claim 302, wherein said rodent is a mouse.

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Claim 304 (Previously Added) The method of claim 302, wherein said rodent is a rat.

Claim 395 (Previously Added) The method of claim 213, wherein said characteristic is said changes in the level and/or activity of cyclin dependent protein kinase 5 (cdc5).

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Claim 306 (Currently Amended) The method of claim 305, wherein said compound is selected from the group consisting of a compound which is selected from the group consisting of chloroquine, N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone, N-CBZ-L-phenylalanyl-L-phenylalanine-diazomethylketone, and beta-amyloid.

Claim 30 (Currently Amended) The method of claim 306, wherein said compound is N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone ZPAD.

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Claim 308 (Previously Added) The method of claim 305, where said brain cells are in the form of dissociated cells.

Claim 300 (Previously Added): The method of claim 305, wherein said brain cells are in the form of a brain slice.

Claim 316 (Currently Amended) The method of claim 309, wherein said brain slice is selected from the group consisting of a hippocampal slice, an entorhinal cortex slice, an entorhinohippocampal slice, a neocortex slice, a hypothalamic slice, or and a cortex slice.

Claim 311 (Cancelled)

Claim 312 (Currently Amended) The method of any one of claims 305-311, 305-310, wherein said rodent brain cells are apolipoprotein E-deficient rodent brain cells.

Claim 345 (Previously Added) The method of claim 342, wherein said rodent is a mouse.

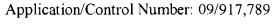
Claim 314 (Previously Added) The method of claim 312, wherein said rodent is a rat.

Claim 315 (Currently Amended) The method of any one of claims 305-311 305-210, wherein said rodent brain cells are apolipoprotein E-containing rodent brain cells.

Claim 216 (Previously Added) The method of claim 215, wherein said rodent is a mouse.

Claim 347 (Previously Added) The method of claim 343, wherein said rodent is a rat.

Claim 318 (Previously Added) The method of claim 215, wherein said characteristic is said changes in the level and/or activity of mitogen activated protein kinases.



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207-212 Claim 325 (Currently Amended) The method of any one of claims 318-324 318-323, wherein said <u>rodent</u> brain cells are apolipoprotein E-deficient rodent brain cells.

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Claim 326 (Previously Added) The method of claim 325, wherein said rodent is a mouse.

715 113

Claim 327 (Previously Added) The method of claim 325, wherein said rodent is a rat.

207-212 216 Claim 328 (Currently Amended) The method of any one of claims 318-324 318-325, wherein said rodent brain cells are apolipoprotein E-containing rodent brain cells.

217 216 Claim 329 (Previously Added) The method of claim 328, wherein said rodent is a mouse.

218 716 Claim 326 (Previously Added) The method of claim 326, wherein said rodent is a rat.

7. Authorization for this examiner's amendment was given in a telephone interview with Michele Cimbala (Reg. No. 33851) on 7 October 2003.

## Summary

8. Claims 95-101, 103-114, 116-127, 129-140, 142-153, 155-166, 168-179, 181-192, 194-205, 207-219, 221-232, 234-245, 247-258, 260-271, 273-284, 286-297, 299-310, 312-323, and 325-330 are hereby allowed.

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Claim 319 (Currently Amended) The method of claim 318, wherein said compound is selected from the group consisting of a compound which is selected from the group consisting of chloroquine, N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone, N-CBZ-L-phenylalanyl-L-phenylalanine-diazomethylketone, and beta-amyloid.

Claim 320 (Currently Amended) The method of claim 319, wherein said compound is N-CBZ-L-phenylalanyl-L-alanine-diazomethylketone ZPAD.

Claim 321 (Previously Added) The method of claim 318, where said brain cells are in the form of dissociated cells.

Claim 322 (Previously Added): The method of claim 318, wherein said brain cells are in the form of a brain slice.

Claim 323 (Currently Amended) The method of claim 322, wherein said brain slice is selected from the group consisting of a hippocampal slice, an entorhinal cortex slice, an entorhinohippocampal slice, a neocortex slice, a hypothalamic slice, or and a cortex slice.

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Claim 324 (Cancelled)

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9. The Examiner acknowledges that acceptance of the above Examiner's Amendment does not mitigate in any way, shape, or form, Applicant's right to pursue additional subject matter in continuation, continuation-in-part, and/or divisional applications pursuant to 35 U.S.C. §120 and §121.

- 10. The following articles, patents, and published patent applications were found by the Examiner during the art search while not relied upon for the grounds of a rejection, are here made of note:
  - a. US 5849691 (15 December 1998) Majer et al.
  - b. US 5686269 (11 November 1997) Nixon
  - c. US 5747517 (5 May 1998) Panetta et al.
  - d. US 6251928 (26 June 2001) Panetta et al.
  - e. US 5858982 (12 January 1999) Tung et al.
  - f. US 2002/0094958 A1 (18 July 2002) Bahr
  - g. Goldsby et al. KUBY Immunology 4<sup>th</sup> Ed. (pp. 617)

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Conclusion

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to Christopher James Nichols, Ph.D. whose telephone number is

703-305-3955. The examiner can normally be reached on Monday through Friday, 8:00AM to

5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Gary Kunz, Ph.D. can be reached on 703-308-4623. The fax phone numbers for the

organization where this application or proceeding is assigned are 703-872-9306 for regular

communications and 703-872-9307 for After Final communications. The fax phone numbers for

the customer service center is 703-872-9305

Any inquiry of a general nature or relating to the status of this application or proceeding

should be directed to the receptionist whose telephone number is 703-308-0196.

CJN

October 7, 2003

GARY KUNZ

SUPERVISORY PATENT EXAMINER

ESTINULUGY CENTER 1600